

Introduction to Noncommutative Geometry

Part 1: Quantized Calculus

Raphaël Ponge

Seoul National University & UC Berkeley
www.math.snu.ac.kr/~ponge

UC Berkeley, March 18 & April 1, 2015

Plan of Lectures

- Part 1: *Quantized Calculus (aka Noncommutative Differentiable Calculus).*
- Part 2: *Spectral Triples (aka Noncommutative Manifolds). Examples.*
- Part 3: *Cyclic Cohomology (aka Noncommutative De Rham Theory). Examples.*
- Part 4: *The Local Index Formula in Noncommutative Geometry (Connes-Chern Character).*

Main References

- Alain Connes: *Noncommutative Geometry*.
- Joseph Varilly *et al.*: *Elements of Noncommutative Geometry*.
- University of Tokyo lecture notes (available on my website).

Remark

Slides of the lectures will be posted on my website.

Quantum Mechanics vs. General Relativity

Fundamental Problems

- Unify **Gravity** and **Quantum Mechanics**.
- Find a a common mathematical framework for general relativity and quantum mechanics.

NCG Approach

Translate the main tools of Riemannian geometry into the **Hilbert space formalism** of quantum mechanics.

Notation

In all what follows:

- \mathcal{H} is a separable Hilbert space.
- $\mathcal{L}(\mathcal{H})$ is the C^* -algebra of bounded operators on \mathcal{H} .

Theorem (Gel'fand-Naimark)

Any C^ -algebra can be realized as a closed self-adjoint subalgebra of some $\mathcal{L}(\mathcal{H})$.*

Theorem (Gel'fand-Naimark)

There is a one-to-one correspondence,

$$\begin{array}{ccc} \{ \text{Locally Compact Spaces} \} & \longleftrightarrow & \{ \text{Commutative } C^* \text{-algebras} \} \\ X & \longrightarrow & C_0(X) \end{array} .$$

NCG Approach

- Extend Gel'fand duality to the setting of differential geometry.
- In this approach spaces are traded for algebras.

Example. Group Actions on Manifolds

Fact

Let G be a group acting by diffeomorphisms on a manifold M .

- 1 If the action is free and proper, then M/G is a manifold.
- 2 In general, M/G need not be Hausdorff.

NCG Approach

Trade the badly behaved space M/G for the **crossed-product algebra** $C_c^\infty(M) \rtimes G$.

Definition

$C_c^\infty(M) \rtimes G$ is $C_c^\infty(M) \otimes \mathbb{C}[G]$ equipped with

$$(f_1 \otimes \phi_1)(f_2 \otimes \phi_2) = f_1(f_2 \circ \phi_1^{-1}) \otimes \phi_1 \phi_2,$$

$$(f \otimes \phi)^* = \bar{f} \otimes \phi^{-1}.$$

Theorem (Green)

If G acts freely and properly, then $C_c^\infty(M/G) \simeq C_c^\infty(M) \rtimes G$.

Quantized Calculus

Classical Calculus	Quantized Calculus
Complex Variable	Operator on \mathcal{H}
Real Variable	Selfadjoint Operator on \mathcal{H}
Infinitesimal Variable	???
Infinitesimal of Order α	???
Integral $\int f$???

Infinitesimal Operators

Intuitive Definition

An infinitesimal is an object that it is smaller than any positive number.

Remark

For an operator $T \in \mathcal{L}(\mathcal{H})$ the condition

$$\|T\| < \epsilon \quad \text{for all } \epsilon > 0$$

gives the solution $T = 0$!

Definition (Infinitesimal Operator)

An operator $T \in \mathcal{L}(\mathcal{H})$ is **infinitesimal** when, for all $\epsilon > 0$, there is a subspace $E \subset \mathcal{H}$, $\dim E < \infty$, such that

$$\|T|_{E^\perp}\| < \epsilon.$$

Characteristic Values (aka Singular Values)

Definition (Characteristic Values)

The $(k + 1)$ -th characteristic value of $T \in \mathcal{L}(\mathcal{H})$ is

$$\mu_k(T) = \inf \left\{ \left\| T|_{E^\perp} \right\| ; \dim E = k \right\}.$$

Proposition (Min-Max Principle)

① *We have*

$$\mu_k(T) = \inf \{ \|T - R\| ; \text{rank } R \leq k \}.$$

② *If T is compact, then*

$$\mu_k(T) = (k + 1)\text{-th eigenvalues of } |T| = \sqrt{T^*T}.$$

Proposition

Let $T \in \mathcal{L}(\mathcal{H})$. Then TFAE

- 1 T is an *infinitesimal operator*.
- 2 $\mu_k(T) \rightarrow 0$ as $k \rightarrow \infty$.
- 3 T is the norm-limit of finite rank operators.
- 4 T is a *compact operator*.

Properties of Characteristic Values

Proposition

Let $T \in \mathcal{L}(\mathcal{H})$. Then

$$\mu_k(T) = \mu_k(T^*) = \mu_k(|T|),$$

$$\mu_k(ATB) \leq \|A\| \mu_k(T) \|B\| \quad \forall A, B \in \mathcal{L}(\mathcal{H}),$$

$$\mu_k(U^*TU) = \mu_k(T) \quad \text{for any unitary } U \in \mathcal{L}(\mathcal{H}).$$

Proposition

Let $S, T \in \mathcal{L}(\mathcal{H})$. Then

$$\mu_{k+l}(S + T) \leq \mu_k(S) + \mu_l(T),$$

$$\mu_{k+l}(ST) \leq \mu_k(S) \mu_l(T).$$

Infinitesimal Operators of Order $\alpha > 0$

Definition

A (compact) operator T is an infinitesimal of order α , $\alpha > 0$, when

$$\mu_k(T) = O(k^{-\alpha}) \quad \text{as } k \rightarrow \infty.$$

Proposition

For $j = 1, 2$ let T_j be an infinitesimal operator of order α_j . Then

- 1 $T_1 + T_2$ is an infinitesimal of order $\min(\alpha_1, \alpha_2)$.
- 2 $T_1 T_2$ is an infinitesimal of order $\alpha_1 + \alpha_2$.

The Banach Ideal \mathcal{L}^{1+}

Definition

Define

$$\mathcal{L}^{1+} = \{T \in \mathcal{L}(\mathcal{H}); \mu_k(T) = O(k^{-1})\},$$
$$\|T\|_{1+} = \sup_{k \geq 0} \{k\mu_k(T)\}, \quad T \in \mathcal{L}^{1+}.$$

Proposition

- 1 \mathcal{L}^{1+} is a two-sided ideal of $\mathcal{L}(\mathcal{H})$.
- 2 $\|\cdot\|_{1+}$ is a Banach norm on \mathcal{L}^{1+} in such a way that

$$\|ATB\|_{1+} \leq \|A\| \|T\|_{1+} \|B\| \quad \forall A, B \in \mathcal{L}(\mathcal{H}).$$

- 3 The closure in \mathcal{L}^{1+} of the space of finite-rank operators is

$$\mathcal{L}_0^{1+} = \{T \in \mathcal{L}^{1+}; \mu_k(T) = o(k^{-1})\}.$$

In particular, it contains all infinitesimals of order > 1 .

Noncommutative Integral

Ansatz for a NC Integral

The NC integral should be a linear functional f such that

- 1 It is **defined** on infinitesimals of order 1 (i.e., its domain contains \mathcal{L}^{1+}).
- 2 It **vanishes** on infinitesimals of order > 1 .
- 3 It takes on **non-negative** values on positive operators.
- 4 It is **unitary** invariant, i.e., $f U^* T U = f T$ for any unitary U .

Remark

- The last two conditions imply that f must be a **positive trace**.
- The standard trace $T \rightarrow \text{Trace}(T)$ on trace-class operators is a positive linear trace. However, it satisfies none of the first two conditions:
 - An infinitesimal of order 1 need not be trace-class.
 - The above trace is not annihilated by rank 1 projections.

Theorem (Dixmier '66)

There is a continuous positive linear trace on \mathcal{L}^{1+} which is annihilated by operators in \mathcal{L}_0^{1+} (including infinitesimals of order > 1).

Strategy

- 1 Construct a (positive) trace on $\mathcal{L}_+^{1+} = \{T \in \mathcal{L}^{1+}; T \geq 0\}$ which is continuous and annihilated by finite rank operators.
- 2 Extend it by linearity to all \mathcal{L}^{1+}

Notation

- $l^\infty = \{(a_n)_{n \geq 0} \subset \mathbb{C}; \sup |a_n|\}$.
- $l_0 = \{(a_n)_{n \geq 0} \subset \mathbb{C}; \lim a_n = 0\}$.

Facts

- 1 l^∞ is a commutative unital C^* -algebra.
- 2 l_0 is a closed ideal of l^∞ .
- 3 Both l^∞ and l^∞/l_0 are non-separable.

Definition

A **state** on l^∞/l_0 is a linear functional $\omega : l^\infty/l_0 \rightarrow \mathbb{C}$ such that

- 1 $\omega(1) = 1$.
- 2 $\omega(x^*x) \geq 0$ for all $x \in l^\infty/l_0$.

Definition

Let ω be a state on ℓ^∞/ℓ_0 . Define $\lim_\omega : \ell^\infty \rightarrow \mathbb{C}$ by

$$\lim_\omega a_n = \omega([a_n]) \quad \forall (a_n) \in \ell^\infty,$$

where $[a_n]$ is the class of (a_n) in ℓ^∞/ℓ_0 .

Proposition

- 1 \lim_ω is a positive linear functional on ℓ^∞ .
- 2 If $a_n \geq 0$ for all n , then

$$\lim_{n \rightarrow \infty} a_n = L \iff \lim_\omega a_n = L \quad \forall \omega.$$

Remark

The last part follows from the fact that the states **separate** the points (any **character** is a state).

Partial Traces $\sigma_N(T)$

Notation

For $T \in \mathcal{L}(\mathcal{H})$ set

$$\sigma_N(T) = \sum_{k < N} \mu_k(T).$$

Remarks

- ① We have

$$\text{Trace}(|T|) = \sum_{k=0}^{\infty} \mu_k(T) = \lim_{N \rightarrow \infty} \sigma_N(T).$$

- ② If $T \in \mathcal{L}_+^{1+}$, then

$$\sigma_N(T) = O(\log N), \quad \text{i.e., } (\log N)^{-1} \sigma_N(T) \in \ell^\infty.$$

- ③ If $T \in \mathcal{L}_0^{1+}$, then

$$\sigma_N(T) = o(\log N), \quad \text{i.e., } (\log N)^{-1} \sigma_N(T) \in \ell_0.$$

Lemma

Let T_1 and T_2 be in \mathcal{L}_+^{1+} . Then

$$\sigma_N(T_1 + T_2) = \sigma_N(T_1) + \sigma_N(T_2) + O(1).$$

Thus,

$$\frac{\sigma_N(T_1 + T_2)}{\log N} = \frac{\sigma_N(T_1)}{\log N} + \frac{\sigma_N(T_2)}{\log N} \pmod{\ell_0}.$$

Definition

Let ω be a state on ℓ^∞/ℓ_0 . Define $\text{Tr}_\omega : \mathcal{L}_+^{1+} \rightarrow [0, \infty)$ by

$$\text{Tr}_\omega(T) = \lim_\omega \frac{\sigma_N(T)}{\log N}.$$

The Dixmier Trace. Properties

Proposition

- 1 $\text{Tr}_\omega(T_1 + T_2) = \text{Tr}_\omega(T_1) + \text{Tr}_\omega(T_2)$.
- 2 $\text{Tr}_\omega(U^* T U) = \text{Tr}_\omega(T)$ for any unitary $U \in \mathcal{L}(\mathcal{H})$.
- 3 We have

$$\lim_{N \rightarrow \infty} (\log N)^{-1} \sigma_N(T) = L \iff \text{Tr}_\omega(T) = L \quad \forall \omega.$$

- 4 If $T \in \mathcal{L}_0^{1+}$, then $\text{Tr}_\omega(T) = 0$.

Proposition

The functional Tr_ω has a unique linear extension $\text{Tr}_\omega : \mathcal{L}^{1+} \rightarrow \mathbb{C}$ in such a way that

- 1 It is continuous positive trace on \mathcal{L}^{1+} .
- 2 It is annihilated by operators in \mathcal{L}_0^{1+} (including infinitesimals of order > 1).

The Dixmier Trace. Measurable Operators

Definition

The trace $\text{Tr}_\omega : \mathcal{L}^{1+} \rightarrow \mathbb{C}$ is called the **Dixmier trace** associated with ω .

Definition

- 1 An operator $T \in \mathcal{L}^{1+}$ is **measurable** when the value of $\text{Tr}_\omega(T)$ is independent of ω .
- 2 For such an operator we define

$$\int T := \text{Tr}_\omega(T),$$

where ω is any state on ℓ^∞/ℓ_0 .

Remark

The functional \int on measurable operators is also called the Dixmier trace.

Classical Calculus	Quantized Calculus
Complex Variable	Operator on Hilbert space \mathcal{H}
Real Variable	Selfadjoint Operator on \mathcal{H}
Infinitesimal Variable	Compact Operator
Infinitesimal of Order α	Compact Operator T such that $\mu_n(T) = O(n^{-\alpha})$
Integral $\int f$	Dixmier Trace $\int T$

Example. Riemannian Manifolds

Setup/Notation

- $\mathcal{H} = L^2_g(M)$, where (M^n, g) is a compact Riemannian mfld.
- $\Delta_g = d^*d$ is the Laplace operator on functions.
- $\lambda_k(\Delta_g)$, $k \geq 0$, are the eigenvalues of Δ_g such that

$$0 = \lambda_0(\Delta_g) < \lambda_1(\Delta_g) \leq \lambda_2(\Delta_g) \leq \dots$$

Theorem (Weyl's Law)

As $k \rightarrow \infty$,

$$\lambda_k(\Delta_g) \sim \left(\frac{k}{c_n \text{Vol}_g(M)} \right)^{\frac{2}{n}}, \quad c_n = (4\pi)^{-\frac{n}{2}} \Gamma\left(\frac{n}{2} + 1\right)^{-1}.$$

Corollary

The operator $\Delta_g^{-\frac{n}{2}}$ is *measurable* and

$$\int \Delta_g^{-\frac{n}{2}} = c_n \text{Vol}_g(M).$$

Pseudodifferential Operators (aka Ψ DOs)

Main Properties of Ψ DOs

- 1 There are continuous linear operators $C^\infty(M) \rightarrow C^\infty(M)$.
- 2 They form a filtered algebra,

$$\Psi^\bullet(M) = \bigcup_{m \in \mathbb{Z}} \Psi^m,$$

$$P_1 \in \Psi^{m_1}(M) \text{ and } P_2 \in \Psi^{m_2}(M) \implies P_1 P_2 \in \Psi^{m_1+m_2}(M).$$

- 3 If $P \in \Psi^m(M)$ with $m \leq 0$, then P extends to a **bounded** operator,

$$P : L^2(M) \longrightarrow L^2(M).$$

This operator is **compact** (resp., **trace-class**) when $m < 0$ (resp., $m < -n$).

Examples

- 1 A differential operator of order m is a Ψ DO of order m .
- 2 If P is an elliptic operator of order m , then P^{-1} is a Ψ DO of order $-m$.
- 3 $\Delta_g^{\frac{m}{2}}$, $m \in \mathbb{Z}$, is a Ψ DO of order m .

Noncommutative Residue

Theorem (Guillemin, Wodzicki)

- 1 The following formula defines a linear form on $\Psi^\bullet(M)$.

$$\text{Res}(P) = \text{Res}_{z=0} \text{Trace} \left(P \Delta_g^{-\frac{z}{2}} \right) \quad \text{for all } P \in \Psi^\bullet(M).$$

It is called the *noncommutative residue*.

- 2 We have

$$\text{Res}(P) = \int_M c_P(x) \sqrt{g(x)} d^n x,$$

where $c_P(x)$ is computable in terms of the “symbol” of P .

- 3 The NC residue is a *trace*, i.e., $\text{Res}[P_1 P_2] = \text{Res}[P_2 P_1]$.
- 4 The NC residue vanishes on Ψ DOs of order $< -n$.

Theorem (Wodzicki)

If M is connected, then *every* trace on $\Psi^\bullet(M)$ is a *scalar multiple* of the noncommutative residue.

Noncommutative Residue and Dixmier Trace

Theorem (Connes)

Let $P \in \Psi^{-m}(M)$, $m > 0$.

- 1 P is an infinitesimal of order m/n .
- 2 If $m = n$, then P is measurable and

$$\int P = \frac{1}{n} \text{Res}(P).$$

Consequence

We can integrate any Ψ DO even if it is not an infinitesimal of order 1 (or is even bounded) by letting

$$\int P := \frac{1}{n} \text{Res}(P) \quad \text{for all } P \in \Psi^\bullet(M).$$

Remark

Connes' theorem is true for any trace on \mathcal{L}^{1+} (Kalton *et al.*).

Proposition

For all $f \in C^\infty(M)$, we have

$$\int f \Delta_g^{-\frac{n}{2}} = c_n \int_M f(x) \sqrt{g(x)} d^n x.$$

Consequence

- The operator $\Delta_g^{-\frac{n}{2}}$ recaptures the **volume element** $\sqrt{g(x)} d^n x$.
- This enables us to define volumes of any dimension (e.g., **length**, **area**) of a Riemannian manifold (*cf.* last three slides).

Proposition

For all $f \in C^\infty(M)$, we have

$$\int f \Delta_g^{-\frac{n-2}{2}} = c'_n \int_M f(x) \kappa_g(x) \sqrt{g(x)} d^n x, \quad c'_n = \frac{(4\pi)^{-\frac{n}{2}}}{3n\Gamma\left(\frac{n-2}{2}\right)}$$

where $\kappa_g(x)$ is the scalar curvature.

Consequences

- The operator $(c'_n)^{-1} \Delta_g^{-\frac{n-2}{2}}$ recaptures the “dressed” **scalar curvature** $\sqrt{g(x)} \kappa_g(x)$.
- For $f = 1$ we recover the **Einstein-Hilbert action** from Gravity:

$$\mathcal{I}_{\text{EH}} := \int_M \kappa_g(x) \sqrt{g(x)} d^n x = (c'_n)^{-1} \int \Delta_g^{-\frac{n-2}{2}}.$$

This provides us with a **spectral theoretic** interpretation of \mathcal{I}_{EH} .

Noncommutative Length Element

Observations

- 1 $c_n^{-1} \Delta_g^{-\frac{n}{2}}$ recaptures the **volume element**.
- 2 Intitively, the volume element is $(ds)^n$, where ds is the **length element**.
- 3 That is, ds is an n -th root of the volume element.

Definition (Length Element)

The (noncommutative) length element is

$$ds := \left(c_n^{-1} \Delta_g^{-\frac{n}{2}} \right)^{\frac{1}{n}} = (c_n)^{-\frac{1}{n}} \Delta_g^{-\frac{1}{2}}.$$

Lower Dimensional Volumes

Observations

- 1 The **length** (resp., **area**) is the “integral” of ds (resp., ds^2).
- 2 The **volume** of **dimension** k is the “integral” of ds^k .

Definition

For $k = 1, \dots, n$, the **volume** of **dimension** k of (M^n, g) is

$$\text{Vol}_g^{(k)}(M) := \int ds^k = (c_n)^{\frac{k}{n}} \int \Delta_g^{-\frac{k}{2}}.$$

Remarks

- 1 For $k = n$ we recover the standard volume of (M^n, g) .
- 2 For $k = 1$ (resp., $k = 2$) this is interpreted as the **length** (resp., **area**) of (M, g) .

Proposition

For $k = 1, \dots, n$, we have

$$\text{Vol}_g^{(k)}(M) = \int_M I_g^{(k)}(x) \sqrt{g(x)} d^n x,$$

where $I_g^{(k)}$ is a universal polynomial in the inverse metric g^{ij} and the covariant derivatives of the curvature tensor R_{ijkl} .

Remark

For the previous discussions it is more natural to replace $\Delta_{\frac{1}{2}g}$ by the Dirac operator.